## CS 537 Notes, Section #10: Semaphore Implementation

Unfortunately, it is rare to find hardware that implements P & V directly (or messages, or monitors). They all involve some sort of scheduling and it is not clear that scheduling stuff belongs in hardware (layering). Thus semaphores must be built up in software using some lower-level synchronization primitive provided by hardware.

Need a simple way of doing mutual exclusion in order to implement P's and V's. We could use atomic reads and writes, as in "too much milk" problem, but these are very clumsy.

## Uniprocessor solution: Disable interrupts.

```
class semaphore {
   private int count;
    public semaphore (int init)
    {
        count = init;
    }
    public void P ()
    {
        while (1) {
              Disable interrupts;
              if (count > 0) {
                   count--;
                   Enable interrupts;
                   return;
              } else {
                   Enable interrupts;
              }
        }
    }
    public void V ()
    {
        Disable interrupts;
        count++;
       Enable interrupts;
    }
}
```

What is wrong with this code?

## **Multiprocessor solution:**

Step 1: when P fails, put process to sleep; on V just wakeup everybody, processes all try P again.

Step 2: label each process with semaphore it's waiting for, then just wakeup relevant processes.

Step 3: just wakeup a single process.

Step 4: add a queue of waiting processes to the semaphore. On failed P, add to queue. On V, remove from queue.

Why can we get away with only removing one process from queue at a time?

There are several tradeoffs implicit here: how many processes in the system, how much queuing on semaphores, storage requirements, etc. The most important thing is to avoid busy-waiting.

Is it "busy-waiting" if we use the solution in step 1 above?

What do we do in a multiprocessor to implement P's and V's? Cannot just turn off interrupts to get low-level mutual exclusion.

- Turn off all other processors?
- Use atomic read and write, as in "too much milk"?

In a multiprocessor, there *must* be busy-waiting at some level: cannot go to sleep if do not have mutual exclusion.

Most machines provide some sort of atomic *read-modify-write* instruction. Read existing value, store back in one atomic operation.

- E.g. Atomic increment.
- E.g. Test and set (IBM solution). Set value to one, but return OLD value. Use ordinary write to set back to zero.
- Read-modify-writes may be implemented directly in memory hardware, or in the processor by refusing to release the memory bus.

Using test and set for mutual exclusion: It is like a binary semaphore in reverse, except that it does not include waiting. 1 means someone else is already using it, 0 means it is OK to proceed. Definition of test and set prevents two processes from getting a 0->1 transition simultaneously.

## tset R1,12F0



Test and set is tricky to use, since you cannot get at it from HLLs. Typically, use a routine written in assembler, or an HLL pragma.

Using test and set to implement semaphores: For each semaphore, keep a test-and-set integer in addition to the semaphore integer and the queue of waiting processes.

```
class semaphore {
    private int t;
    private int count;
    private queue q;
    public semaphore(int init)
    {
        t = 0;
        count = init;
        q = new queue();
    }
    public void P()
    {
        Disable interrupts;
        while (TAS(t) != 0) { /* just spin */ };
        if (count > 0) {
            count--;
            t = 0;
            Enable interrupts;
            return;
        }
        Add process to q;
        t = 0;
        Enable interrupts;
        Redispatch;
    }
```

```
public V()
{
    Disable interrupts;
    while (TAS(t) != 0) { /* just spin */ };
    if (q == empty) {
        count++;
    } else {
        Remove first process from q;
        Wake it up;
    }
    t = 0;
    Enable interrupts;
}
```

Why do we still have to disable interrupts in addition to using test and set?

Important point: implement some mechanism once, very carefully. Then always write programs that use that mechanism. Layering is very important.

THIS ENDS THE SECTION OF THE COURSE ON PROCESSES AND COMMUNICATION.

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